



PTO/SB/21 (09-06)

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TRANSMITTAL			Filing Date		January 30, 2004
FORM		First Named Inventor		Noriaki FUJII	
			Art Unit	•	2609
(to be use	d for all correspondence after	initial filing)	Examiner Na	ame	V. Coleman
Total Number	of Pages in This Submiss	ion	Attorney Docket Number		275412002000
	EN	CLOSURES	(Check all	that appl	(y)
Fee Transm	nittal Form	Drawing(s)			After Allowance Communication to TC
Fee A	Fee Attached Licensing-rel		ated Papers	ated Papers Appeal Communication Appeals and Interference	
Amendment/Reply Petition		Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
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	SIGNAT	JRE OF APPLICA	ANT, ATTO	RNEY, OR	AGENT
Firm Name	MORRISON & FOE	RSTER LLP			
Signature	Debrok 1 St	ella			·
Printed name	Deborah S. Gladste	in			
Date	May 3, 2007			Reg. No.	43,636



Patent Docket No. 275412002000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Noriaki FUJII

Serial No.: 10/769,567

Filing Date: January 30, 2004

For: OPTICAL PICK-UP APPARATUS

Examiner: V. Coleman

Group Art Unit: 2609

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the foreign documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO/SB/08a/b were cited in a Japanese Office Action mailed on February 27, 2007, directed to a counterpart foreign application and have not been previously cited. A certification under 37 C.F.R. § 1.97(e)(1) follows:

I hereby certify that each item of information was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 275412002000.

Dated: May 3, 2007

Respectfully submitted,

Debbrah S. Gladstein

Registration No.: 43,636

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Complete if Known Substitute for form 1449/PTO 10/769,567 Application Number January 30, 2004 Filing Date INFORMATION DISCLOSURE Noriaki FUJII First Named Inventor STATEMENT BY APPLICANT Art Unit 2609 (Use as many sheets as necessary) V. Coleman **Examiner Name** 275412002000 Sheet 1 of 1 Attorney Docket Number

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

		FOREI	GN PATENT	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	⊤ 8
	1.	JP-07-073493-A	03-17-1995	HITACHI LTD.	Translation of Abstract	Γ
	2.	JP-2001-307358-A	11-02-2001	NEC CORP	Translation of Abstract	

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). °For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. °Applicant is to place a check mark here if English tanguage Translation is attached.

:		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date
Signature	Considered

^{&#}x27;Applicant's unique citation designation number (optional). 'Applicant is to place a check mark here if English language Translation is attached.